Docket Number: 040258-0280043 Client Reference: EL00026CDC PATENT APPLICATION TRU

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1763

Examiner: Luz Alejandro Mulero

In Re the Application of

TADAHIRO OHMI, et al.

Application No.: 09/827,307

Filed: April 6, 2001 Confirmation No.: 4153

FEB 1 5 2005

For: PLASMA PROCESSING APPARATUS AND PLASMA PROCESSING METHOD

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

THIS IS NOT A RESPONSE TO THE FINAL OFFICE ACTION MAILED ON JANUARY 25, 2005.

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO-1449. One copy of the cited reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Please charge Deposit Account 033975 in the amount of \$180.00 in payment of the fee under 37 CFR 1.17(i)(p). Please credit or debit Deposit Account 033975 as needed to ensure consideration of the disclosed information.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1). Specifically, the items identified in this Information Disclosure Statement were cited in a European Search Report dated

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January 18, 2005. The Applicants omit WO 98/39500 from this Information Disclosure Statement because the reference was cited by the Examiner in the Office Action dated August 13, 2003.

Respectfully Submitted,

Jeffrey D. Karceski

Registration Number 35914 Customer Number: 00909

Date: February 15, 2005

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P.O. Box 10500 McLean, VA 22102



February 15, 2005

Date

PTO/SB/17 (12-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Approriations Act. 2005 (H.R. 4818). 09/827,307 Application Number FEE TRANSMITTAL Filing Date April 6, 2001 for FY 2005 TADAHIRO OHMI First Named Inventor Examiner Name Luz Alejandro Mulero Applicant claims small entity status. See 37 CFR 1.27 1763 Art Unit **TOTAL AMOUNT OF PAYMENT** (\$) 180040258-0280043 Attorney Docket No METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify):_ 033975 X Deposit Account Deposit Account Deposit Account Name: PILLSBURY WINTHROP LLP Number: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) X Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of fees(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES Small Entity Small Entity Small Entity Fee Paid (\$) Application Type Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 150 Design 100 130 65 200 100 50 Plant 200 160 80 100 300 150 Reissue 300 150 500 250 600 300 Provisional 100 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 200 100 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent Multiple dependent claims Total Claims Extra Claims Fee (\$) Fee Paid (\$) Multiple Dependent Claims - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 HP = ringings Indep. Claims - 3 or HP = Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof

- 100 = /50= (round up to a whole number) x Fee Paid (\$) 4. OTHER FEE(S) Fee Paid (\$) Non-English Specification, 130 fee (no small entity discount) Other: Information Disclosure Statement 180.00 SUBMITTED BY Registration No. Telephone 703.905.2110 Signature 35914

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/827,307 Filing Date 04/06/2001 First Named Inventor **TADAHIRO OHMI** 1763 **Examiner Name** Luz Alejandro Mulero Attorney Docket Number 040258-0280043

(Use as many sheets as necessary)

Sheet of

U. S. PATENT DOCUMENTS **Publication Date** Name of Patentee or Pages, Columns, Lines, Where Examiner Document Number Relevant Passages or Relevant Figures Appear Initials* MM-DD-YYYY Applicant of Cited Document Number-Kind Code^{2 (if known)} US-US-US-US-US-US-US-US-US-US-US-USus-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹		atent Document e ³⁻ Number ⁴⁻ Kind Code ⁵ (<i>if known</i>)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
	Α	EP	0 969 123	A 1	01-05-2000	OHMI et al.			
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Examiner	Date	
Signature	Considered	1

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

6 Applicant is to place a check mark here if English language Translation is attached.

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